

PATENT
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Moya Kinnealey
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Moya Kinnealey
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Morey Kraus et al.	Art Unit:	1632
Serial No.:	09/698,893	Examiner:	Anne Marie Falk
Filed:	October 27, 2000	Customer No.:	21559
Title:	Methods for Improving Central Nervous System Functioning		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the enclosed Form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

Submission of this statement is not a representation that a search has been made, nor is the inclusion of information in this statement an admission that the information is material to patentability.

This statement is being filed after the mailing of a first Office action on the merits, but before the mailing of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item of information contained in this statement was first cited in any communication from a foreign patent office in a counterpart foreign application, dated October 24, 2003, which is not more than three months prior to the filing of this statement.

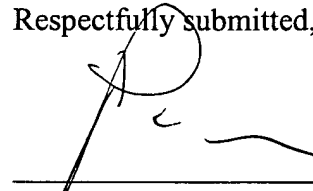
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Date:

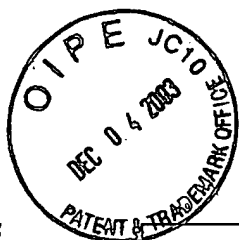
Dec. 1, 2003

Respectfully submitted,

A handwritten signature in black ink, appearing to be "Paul T. Clark", written over a horizontal line.

Paul T. Clark
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Sheet 1 of 1

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket No. 07588/008001		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 C.F.R. § 1.98(b))				Serial No. 09/698,893		
				Applicants Morey Kraus et al.		
				Filing Date October 27, 2000		
				Group 1632		
				IDS Filed December 1, 2003		
				Customer No. 21559		
U.S. PATENTS						
Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)
	5,925,567	7/20/99	Kraus et al.	435	372	10/6/97
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION						
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
	WO 01/66698 A1	09/13/01	WIPO			No
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)						
	Chen et al., "The Potential for the Use of Mononuclear Cells from Human Umbilical Cord Blood in the Treatment of Amyotrophic Lateral Sclerosis in SOD1 Mice," <i>Journal of Medicine</i> 31:21-30, 2000.					
	Ende et al., "Human Umbilical Cord Blood Cells Ameliorate Huntington's Disease in Transgenic Mice: A Brief Report," <i>Journal of Medicine</i> 32:231-240, 2001.					
	Ende et al., "Human Umbilical Cord Blood Cells Ameliorate Alzheimer's Disease in Transgenic Mice: A Brief Report," <i>Journal of Medicine</i> 32:241-247, 2001.					
	Kraus et al., "Cord Blood Cells Restore Central Nervous System (CNS) Function In a Rat-stroke Model System," <i>Blood</i> 96:495a, 2000.					
EXAMINER				DATE CONSIDERED		
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.						